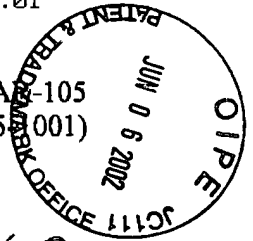


Attorney Docket No.: HAR-105
(previously 06965001)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Miguel Angel Fuenzalida DIAZ
et al

Title: Pharmaceutical and Food
Compositions Containing "Wood
Alcohols" or "Wood Sterols"
Useful for Lowering Serum
Cholesterol

Serial No: 09/922,532

Filing Date: 03 AUG 2001

)
)
) I hereby certify that this correspondence
) has been sent by **FACSIMILE**
) to **703-746-4060**, addressed to the
) Commissioner of Patents and Trademarks
) Washington, DC, 20231, on June 6, 2002.
)

) *Susan Leo Vanderwalker* date *06 June 2002*
) Susan Leo Vanderwalker

**COMMUNICATION IN RESPONSE TO
REQUEST FOR SUBSTITUTE PAPERS**

The Honorable Commissioner
of Patents and Trademarks
Washington, DC 20231

Dear Sir:

This Communication is in response to the *Request for Substitute Papers* indicated as having been mailed on March 25, 2002. The purpose of this Communication is to replace documents filed (i.e., received) in the U.S. Patent Office on January 22, 2002, which are no longer in a condition to become part of the permanent records due to the U.S. Postal Service sanitization process.

Attached is a copy of the March 25, 2002 *Request for Substitute Papers* along with true and correct copies of the papers received in the Patent Office on January 22, 2002 (which were mailed on December 14, 2001).

Applicant does not believe that any fees are due at this time for submission of this Communication. However, if Applicant's understanding is incorrect, you are hereby authorized to deduct the required fees from our Deposit Account, No. 02-0400 (Baker & McKenzie). When identifying such a withdrawal, please use our Attorney Docket No.: HAR-105.

June 6, 2002 by facsimile

Respectfully,

BAKER & MCKENZIE
130 E. Randolph Drive
Chicago, IL 60601
ph: 312-861-8608
fax: 312-861-8937

David I. Roche

David I. Roche
Reg. No. 30,797

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JUL 12 2002
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231
www.uspto.gov

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/922,532	08/03/2001	Diaz, Miguel	06965-1001

BAKER & MCKENZIE
12th Floor
101 West Broadway
San Diego CA 92101

Title: Pharmaceutical and food compositions containing "wood alcohols" or "wood sterols" useful for lowering serum cholesterol

Date Mailed: 03/25/2002

Request for Substitute Papers

The papers filed on 01/22/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

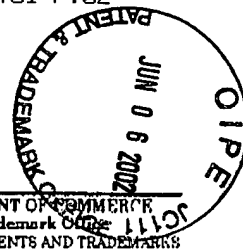
The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

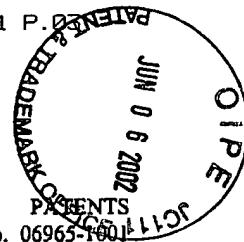
Name: David I. Roche Registration No.: 30,797

Signature: David I. Roche Date: 06 JUNE 2002



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442-105



Atty. Docket No. 06965-1601

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit:	To be assigned
Miguel Angel Fuenzalida DIAZ et al.)		
Serial No.: 09/922,532)	Examiner:	To be assigned
Filed: August 3, 2001)		
For: PHARMACEUTICAL AND FOOD)		
COMPOSITIONS CONTAINING)		
"WOOD ALCOHOLS" OR "WOOD)		
STEROLS" USEFUL FOR LOWERING)		
SERUM CHOLESTEROL)		

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BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL LETTER And
RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

Dear Sir:

In response to the Notice to File Missing Parts, mailed September 14, 2001, enclosed please find the following.

1. Return copy of the Notice to File Missing Parts under 35 U.S.C. 371, mailed September 14, 2001;
2. Check in the amount of \$160.00 to cover the surcharge for late filing of the declaration, Assignment recording fee and extension fee;
3. Combined Declaration and Power of Attorney executed by the inventor;

CERTIFICATE OF MAILING

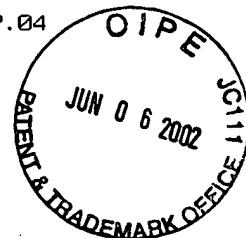
I hereby certify that this correspondence is being deposited with the United States Postal Mail Service as First Class Mail on the date shown below with sufficient postage in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: December 14, 2001

Signature:

KM Cruz
Kareh M. Cruz

587351v1



4. Assignment;
5. Recordation Form Cover Sheet;
6. Petition for Extension of Time (1 mo.);
7. Submission of Priority Document; and
8. Certified copy of Priority Document.

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Although it is believed no additional fee is due for this filing, in the event that the Examiner determines an additional fee is due, the Commissioner is authorized to charge any deficiency, or credit any overpayment, to our deposit account, No. 02-0410 in the name of Baker & McKenzie. A duplicate copy of this letter is enclosed.

Respectfully submitted,

Mitchell P. Brook
Attorney for Applicant
Reg. No. 32,967

c/o BAKER & MCKENZIE
101 West Broadway, 12th Floor
San Diego, California 92101
(619) 236-1441

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027

U.S. Department of Commerce
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11232001

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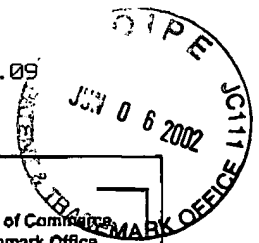
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Page 2

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Patent and Trademark Office
PATENT

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Area Code and Telephone Number

619 236 1441

Name Mitchell P. Brook, Esq.

Address (line 1) Baker & McKenzie

Address (line 2) 101 West Broadway, 12th Floor

Address (line 3) San Diego, California 92101

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09922532

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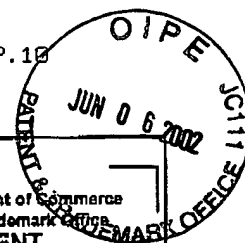
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MITCHELL P. BROOK

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Signature

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Execution Date
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Execution Date
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